

ANNEX  
EMSWe Data set

**A. Reporting obligations resulting from legal acts of the Union**

This category of reporting obligations includes the information which is to be provided in accordance with the following provisions:

1. Notification for ships arriving in and departing from ports of the Member States

Article 4 of Directive 2002/59/EC of the European Parliament and of the Council of 27 June 2002 establishing a Community vessel traffic monitoring and information system (OJ L 208, 5.8.2002, p.10).

2. Border checks on persons

Article 7 of Regulation (EC) No 562/2006 of the European Parliament and of the Council of 15 March 2006 establishing a Community Code on the rules governing the movement of persons across borders (Schengen Borders Code) (OJ L 105, 13.4.2006, p.1).

3. Notification of dangerous or polluting goods carried on board

Article 13 of Directive 2002/59/EC of the European Parliament and of the Council of 27 June 2002 establishing a Community vessel traffic monitoring and information system (OJ L 208, 5.8.2002, p.10).

4. Notification of waste and residues

Article 6 of Directive 2000/59/EC of the European Parliament and of the Council of 27 November 2000 on port reception facilities for ship‑generated waste and cargo residues (OJ L 332, 28.12.2000, p.81).

[New proposal repealing 2000/59/EC:

4. Notification of waste from ships, including residues

Articles 6 and 7 of Directive 201X/XX/EU of the European Parliament and the Council]

5. Notification of security information

Article 6 of Regulation (EC) No 725/2004 of the European Parliament and of the Council of 31 March 2004 on enhancing ship and port facility security (OJ L 129, 29.4.2004, p.6).

Until the adoption of a harmonised form at international level, the form set out in the Appendix to this Annex shall be used for identifying the information required under Article 6 of Regulation (EC) No 725/2004. The form can be transmitted electronically.

6. Information on persons on board

Articles 4(2) and 5(2) of Council Directive 98/41/EC of 18 June 1998 on the registration of persons sailing on board passenger ships operating to or from ports of the Member States of the Community (OJ L 188, 2.7.1998, p. 35).

7. Customs formalities

Arrival formalities:

* Notification of arrival (Article 133 of Regulation (EU) No 952/2013[[1]](#footnote-1));
* Presentation of goods to customs (Article 139 of Regulation (EU) No 952/2013);
* Temporary storage declaration of goods (Article 145 of Regulation (EU) No 952/2013);
* Customs status of goods (Articles 153 to 155 of Regulation (EU) No 952/2013;
* Electronic transport documents used for transit (Article 233(4)(e) of Regulation (EU) No 952/2013).

Departure formalities:

* Customs status of goods (Articles 153 to 155 of Regulation (EU) No 952/2013);
* Electronic transport documents used for transit (Article 233(4)(e) of Regulation (EU) No 952/2013);
* Exit notification (Article 269(2) of Regulation (EU) 952/2013);
* Exit summary declaration (Articles 271 and 272 of Regulation (EU) No 952/2013);
* Re-export notification (Articles 274 and 275 of Regulation (EU) No 952/2013);

8. Safe loading and unloading of bulk carriers

Articles 7 and 8 of Directive 2001/96/EC of the European Parliament and of the Council of 4 December 2001 establishing harmonised requirements and procedures for the safe loading and unloading of bulk carriers;

9. Port State Control

Article 9 of Directive 2009/16/EC of the European Parliament and of the Council of 23 April 2009 on port State control.

10. Maritime Transport statistics

Article 5 of the Directive 2009/42/EC of the European Parliament and of the Council on statistical returns in respect of carriage of goods and passengers by sea.

**B. FAL forms and obligations resulting from international legal instruments**

This category of reporting obligations includes the information which is to be provided in accordance with the FAL Convention and other relevant international legal instruments.

1. FAL form 1: General Declaration

2. FAL form 2: Cargo Declaration

3. FAL form 3: Ship’s Stores Declaration

4. FAL form 4: Crew’s Effects Declaration

5. FAL form 5: Crew List

6. FAL form 6: Passenger List

7. FAL form 7: Dangerous Goods

8. Maritime Declaration of Health

**C. National legal provisions**

This category of reporting obligations includes data elements in the following categories:

1. Maritime transport

2. Maritime transport safety

3. Environment

4. Border control

5. Security

6. Customs

7. Health

8. Military

9. Port services

10. Statistics

11. Fiscal information

APPENDIX

SHIP PRE‑ARRIVAL SECURITY INFORMATION FORM  
FOR ALL SHIPS PRIOR TO ENTRY INTO THE PORT OF AN EU MEMBER STATE

(1974 International Convention for the Safety of Life at Sea (SOLAS) REGULATION 9 of Chapter XI-2 AND ARTICLE 6(3) OF REGULATION (EC) No 725/2004)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Particulars of the ship and contact details | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| IMO number | | | |  | | | | | | | | | | | | | Name of ship | | | | | | | | | |  | | | | | | |
| Port of registry | | | |  | | | | | | | | | | | | | Flag State | | | | | | | | | |  | | | | | | |
| Type of ship | | | |  | | | | | | | | | | | | | Call Sign | | | | | | | | | |  | | | | | | |
| Gross Tonnage | | | |  | | | | | | | | | | | | | Inmarsat call numbers (if available) | | | | | | | | | |  | | | | | | |
| Name of Company and company identification number | | | |  | | | | | | | | | | | | | CSO name & 24 hour contact details | | | | | | | | | |  | | | | | | |
| Port of arrival | | | |  | | | | | | | | | | | | | Port facility of arrival (if known) | | | | | | | | | |  | | | | | | |
| Port and port facility information | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Expected date and time of arrival of the ship in port (ETA) | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |
| Primary purpose of call | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | |
| Information required by SOLAS Regulation 9.2.1 of Chapter XI-2 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Does the ship have a valid International Ship Security Certificate (ISSC)? | | | | | | | YES | ISSC | | | | | | | NO - why not? | | | | | | | | | Issued by (name of Administration or RSO) | | | | Expiry date (dd/mm/yyyy) | | | | | |
| Does the ship have an approved SSP on board? | | | | | | | YES | NO | | | | Security Level at which the ship is currently operating? | | | | | | | | | | Security  Level 1 | | | Security  Level 2 | | | Security  Level 3 | | | | | |
| Location of ship at the time this report is made | | | | | | | |  | | | | | | | | | | | | | | | | | | | | | | | | | |
| List the last ten calls at port facilities in chronological order (most recent call first): | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| No. | Date from (dd/mm/yyyy) | | | | Date to (dd/mm/yyyy) | | | | Port | | | | | | | Country | | | | | UN/LOCODE  (if available) | | | | | Port facility | | | | | Security Level | | |
| 1 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 2 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 3 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 4 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 5 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 6 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 7 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 8 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 9 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| 10 |  | | | |  | | | |  | | | | | | |  | | | | |  | | | | |  | | | | | SL = | | |
| Did the ship take any special or additional security measures, beyond those in the approved SSP?  If the answer is YES, indicate below the special or additional security measures taken by the ship. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | NO |
| No.  (as above) | | | Special or additional security measures taken by the ship | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 10 | | |  | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| List the ship‑to‑ship activities, in chronological order (most recent first), which were carried out during the last ten calls at port facilities listed above. Expand table below or continue on separate page if necessary – insert total number of ship‑to‑ship activities: | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Were the ship security procedures specified in the approved SSP maintained during each of these ship‑to‑ship activities?  If NO, provide details of the security measures applied in lieu in the final column below. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | YES | | | NO | |
| No. | | Date from (dd/mm/yyyy) | | | | Date to (dd/mm/yyyy) | | | | Location or Longitude and Latitude | | | | | | | | | Ship-to-ship activity | | | | | | Security measures applied in lieu | | | | | | | | |
| 1 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 2 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 3 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 4 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 5 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 6 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 7 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 8 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 9 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| 10 | |  | | | |  | | | |  | | | | | | | | |  | | | | | |  | | | | | | | | |
| General description of the cargo aboard the ship | | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | |
| Is the ship carrying any dangerous substances as cargo covered by any of Classes 1, 2.1, 2.3, 3, 4.1, 5.1, 6.1, 6.2, 7 or 8 of the IMDG Code? | | | | | | | | | | | | | | | | | | YES | | NO | | | If YES, confirm Dangerous Goods Manifest (or relevant extract) is attached | | | | | | | | | | |
| Confirm a copy of ship's crew list is attached | | | | | | | | | | | | | | | | | | YES | | Confirm a copy of the ship's passenger list is attached | | | | | | | | | | | | YES | |
| Other security related information | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Is there any security-related matter you wish to report? | | | | | | | | | | YES | | | Provide details: | | | | | | | | | | | | | | | | | | | NO | |
| Agent of ship at intended port of arrival | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name: | | | | | | | | | | | | Contact details (Tel. no.): | | | | | | | | | | | | | | | | | | | | | |
| Identification of person providing the information | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Title or Position (delete as appropriate):  Master / SSO / CSO / Ship's agent (as above) | | | | | | | | | | | | | Name: | | | | | | | | | | Signature: | | | | | | | | | | |
| Date/Time/Place of completion of report | | | | | | | | | | | | |  | | | | | | | | | | | | | | | | | | | | |

1. Regulation (EU) No 952/2013 of the European Parliament and of the Council of 9 October laying down the Union Customs Code (OJ L 269, 10.10.2013, p.1). [↑](#footnote-ref-1)